

3. Human Subjects Yes No

Note: Surveys, interviews, observations, or use of secondary data may be human subjects' research. Contact your local IRB office for guidance.

If yes, a copy of the IRB approval must be provided before a subaward is issued.

Does your organization/institution have a Federalwide Assurance (FWA) Number? Yes No

If yes, provide number:

4. Animal Subjects Yes No

If yes, a copy of the IACUC approval must be provided before a subaward is issued.

5. Conflict of Interest (applicable to NIH, NSF, or any other sponsor that has adopted the federal financial disclosure requirements)

Not applicable because this project is not being funded by NIH, NSF, or any other sponsor that has adopted the federal financial disclosure requirements.

Subrecipient organization/institution hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F, and "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of Institution's knowledge: (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement, and required by its conflict of interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced, or eliminated in accordance with subrecipient's conflict of interest policy prior to the expenditure of any funds under any resulting agreement.

Subrecipient does not have an active and/or enforced conflict of interest policy and hereby agrees to abide by Elon's policy, available at www.elon.edu/sponsoredprograms, see Policies/Compliance link.

6. Debarment, Suspension, Proposed Debarment

The Organization Certifies they: (answer all questions below)

are are not presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts

are are not presently indicted for, or otherwise criminally or civilly charged by a governmental entity

have have not within three (3) years preceding this offer, been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission or embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property

have have not within three (3) years preceding this offer, had one or more contracts terminated for default by any federal agency

Is subrecipient currently registered in the System for Award Management (SAM)/Central Contractor Registration (CCR)? (www.sam.gov) Yes No

7. Effort Reporting

The organization certifies that they have systems in place to actively monitor and document the time/effort devoted to sponsored projects and that they charge salary and wages accordingly. Yes No (If "no," explain in Section D

Comments below.)

8. Responsible Conduct of Research

Not applicable because this project is not being funded by NSF.

Subrecipient certifies by signing this Subrecipient Commitment Form that it has an institutional plan to provide appropriate training and oversight in the responsible and ethical conduct of research, as described in the January 2010 NSF Proposal and Award Policies and Procedures Guide, specifically in Part 1-Grant Proposal Guide, Chapter II. C. 1e and in Part II -Award Guide, Chapter IV.B, which implements provisions of Section 7009 in the America COMPETES Act.

SECTION D — COMMENTS

Click here to enter text.

APPROVED FOR SUBRECIPIENT

The information, certifications, and representations above have been read, signed, and made by an authorized official of the subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of agency policies regarding subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. **Any work begun and/or expenses incurred prior to execution of a subaward agreement are at the subrecipient's own risk.**

Signature of Subrecipient's Authorized Official: _____

Date: _____

Type or print name and title of Authorized Official:

Name of Subrecipient's Organization/Institution:

Federal Employer Identification Number (EIN):

DUNS or DUNS +4 number: